

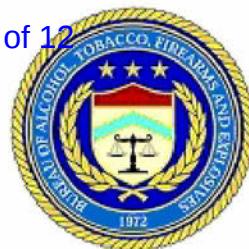
Ex. R

**DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES**

Denial Enforcement and NICS Intelligence Branch

Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

Applicant Information

Denial Information

Date Of Birth: [REDACTED]

Sex: Male

FFL Id: 575439019F41424

SSN: [REDACTED]

Race: White

FBI Created Date: 05/18/2009 03:49 PM

Height: 5 Ft. 09 In.

Weight: 160 Lbs.

SFL ID:

Address:

FBI Denied Date: 05/22/2009 01:32 PM

City:

State:

Zip:

Region: 12

State Of Purchase: TX

Address Source:

Purpose Code **Description**

State of Res: TX **Country of Residence :**

02 – Sale of a Long Gun

County of Res:

Dealer Name: WINCHESTER GALLERY

Phone:

Fax:

License Name: WINCHESTER GALLERY, INC

Place Of Birth: ARIZONA

Address: 6054 E LANCASTER

Misc Id No:

City: FORT WORTH

Misc Id Type:

State: TX

Zip: 76112

Retrieval Code / Description 99

Phone: 8174969391

Fax: 8175070215

All Denials that DO NOT require a firearm retrvl

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Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

Denial Record Information

Denied Number	Database	Prohibited Category	Criminal History
461821LB8	III	A2 - 18 USC 922 (n) Under Indictment/Information	

Denial Status Information

Denial Status: NOT REFERRED	Field Division: Dallas
Qualifying Criteria: 39 N1-Under Indictment/Information Filed	Field Office: FORT WORTH

DECISION NOTES

JT Miller 05/20/2009
 TX Northern Distr
 Subject was placed under indictment.
 Date of indictment was: 03/18/2009
 Charge was: aggravated assault deadly weapon

United States State Department Information

For additional information, please contact the US State Dept.

State Dept Suspect?: N

Research Analyst:

Research Date:

United States Secret Service Information

For additional information, please contact the US Secret Service.

USSS Suspect?: N

Research Analyst:

Research Date:

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Buyer Name: KEITH THOMAS KINNUNEN**NTN:** 1D0HXLZ

FBI Comments

05/18/2009 15:52:31 -- FRAMENC

delay record partial

ntn inquiry 1

The Brady Transfer Date is: Friday May 22, 2009

05/19/2009 14:37:04 -- SKINNERRL

COMMENTS/

Based on descriptors hit in III match FBI/461821LB8-SID/AZ14072128, there are no disqualifiers for SID/AZ14072128; hit in III also match SID/TX08283706, possible disqualifier per Federal Prohibitor 1:

EVENT CYCLE 1

TRACKING NUMBER 9132860560

ARREST DATE 12-03-2008

TYPE ADULT

AGENCY TX2201200 - FORT WORTH PD

NAME KINNUNEN,KEITH T

TRACKING SUFFIX A001**OFFENSE DATA**

AGENCY ID NUMBER 0837201

AGENCY CASE NUMBER 080140728

OFFENSE AGENCY TX2201200 - FORT WORTH PD

OFFENSE AGG ASSLT W/DEADLY WEAPON

CITATION PC 22.02(a)(2)

OFFENSE DESC AGG ASSLT W/DEADLY WEAPON

LEVEL DEGREE FELONY - 2ND DEGREE

DISPOSITION HELD

DISPOSITION DATE 12-03-2008

REFERRED TX2201200 - FORT WORTH PD

NO PROSECUTION DATA AVAILABLE-----
NO COURT DATA AVAILABLE-----
NTN INQUIRY=prior transactions

IAFIS RESULTS=DOA 12/03/2008 listed w/o disp

NCIC RESULTS=na

VAF RESULTS=na

ATFRDD RESULTS=no hits

DDF RESULTS=file found for FBI/461821LB8 but nothing on file for DOA 12/03/2008

WEBSITE RESULTS=no website for Tarrant Co, Texas

**DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES**

Denial Enforcement and NICS Intelligence Branch

Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

FBI Comments (cont.)

Calling Tarrant Co DA 817-884-1620 for dispo/indictment

Status open

05/19/2009 14:52:12 -- SKINNERRL

COMMENTS/

Called Tarrant Co DA 817-884-1620 and spoke w/Janet who said the subject was Indicted on March 18, 2009 for DOA 12/03/2008 AGG ASSLT W/DEADLY WEAPON. Janet said the next court date is scheduled for May 26, 2009

Based on the Felony Indictment, this NTN is DENY per Federal Prohibitor 1

Did not forward -no hard copy of the Indictment

05/19/2009 14:55:46 -- SKINNERRL

FFL/Appellant Notified has been set for NTN 1D0HXLZ

NTN Status: Denied

Called FFL, David verified FFL ID, advised NTN is DENY

No firearm transferred

NTN Inq-other NTN, completed

05/22/2009 13:32:39 -- SKINNERRL

COMMENTS/

SUBJECT CALLED TO QUESTION WHY HE WAS DENIED. I WAS GIVING HIM THE NICS APPEAL SERVICES TEAM INFORMATION AND ADDRESS AND LOST CONTACT WITH THE SUBJECT. IT SOUNDED LIKE HE WAS USING A CELL PHONE AND CONTACT WAS LOST

III Response Data

LL011D0HXLZ00320000

WVNICS000

THIS NCIC INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR

INQUIRY ON NAM/KINNUNEN,KEITH THOMAS SEX/M RAC/W DOB/19761126

SOC/601083213 PUR/F

NAME	FBI NO.	INQUIRY DATE
KINNUNEN,KEITH THOMAS	461821LB8	2009/05/18

SEX RACE BIRTH DATE HEIGHT WEIGHT EYES HAIR PHOTO

M W 1976/11/26 508 157 HAZ BRO N

BIRTH PLACE

ARIZONA

FINGERPRINT CLASS PATTERN CLASS

**DEPARTMENT OF THE JUSTICE
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Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN**NTN:** 1D0HXLZ

III Response Data (cont.)	
----------------------------------	--

WU WU WU WU RS AU WU WU LS LS
 LS RS WU WU WU WU

ALIAS NAMES
 KINNUNEN, KEITH T

SCARS-MARKS-
 TATTOOS SOCIAL SECURITY MISC NUMBERS
 TAT ABDOM [REDACTED] AF-601083213
 TAT CHEST

IDENTIFICATION DATA UPDATED 2008/12/03

THE CRIMINAL HISTORY RECORD IS MAINTAINED AND AVAILABLE FROM THE FOLLOWING:

ARIZONA - STATE ID/AZ14072128 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN
 TEXAS - STATE ID/TX08283706 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN

THE RECORD(S) CAN BE OBTAINED THROUGH THE INTERSTATE IDENTIFICATION INDEX BY USING THE APPROPRIATE NCIC TRANSACTION

END
 EL011D0HXLZ03330000
 WVNICS000

THIS INTERSTATE IDENTIFICATION INDEX RESPONSE IS THE RESULT OF YOUR RECORD REQUEST FOR FBI/461821LB8. INDIVIDUAL'S RECORD WILL BE COMPLETE WHEN ALL RESPONSES ARE RECEIVED FROM THE FOLLOWING SOURCES:

ARIZONA - STATE ID/AZ14072128 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN
 TEXAS - STATE ID/TX08283706 - COURT DISPOSITION PENDING
 CONVICTION STATUS UNKNOWN

END

ML0105182009
 WVNICS000
 CR.TXIII0000
 12:51 05/18/2009 83104
 12:51 05/18/2009 83800 WVNICS000
 TXT

**DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
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Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

III Response Data (cont.)

HDR/2L011D0HXLZ03330000

ATN/1D0HXLZ 18MAY2009 1549

THIS RECORD IS BASED ON THE SID NUMBER IN YOUR REQUEST-

SID/TX08283706.FBI/461821LB

TEXAS DEPARTMENT OF PUBLIC SAFETY COMPUTERIZED CRIMINAL HISTORY

THE FOLLOWING RECORD PERTAINS TO DPS NUMBER/TX 08283706

NAME(S)

KINNUNEN,KEITH T

FBI NUMBER DPS NUMBER

461821LB8 TX 08283706

SOCIAL SECURITY DRIVERS LICENSE ID NUMBER

[REDACTED] TX

SEX RACE SKIN TONE

M W LGT

HEIGHT WEIGHT DATE OF BIRTH

509 160 11-26-1976

HAIR COLOR EYE COLOR FINGERPRINT PATTERN

RED HAZ

AFIS FINGERPRINT RIDGE COUNT PRIMARY CLASS

WSWWRWSWSL

PLACE OF BIRTH CITIZEN III CODE

AZ US MULTI-STATE

DATE OF REPORT ORIGINATION DATE DATE OF LAST UPDATE

05-18-2009 12-03-2008 12-03-2008

EVENT CYCLE 1

TRACKING NUMBER 9132860560

ARREST DATE 12-03-2008

TYPE ADULT

AGENCY TX2201200 - FORT WORTH PD

NAME KINNUNEN,KEITH T

TRACKING SUFFIX A001

OFFENSE DATA

AGENCY ID NUMBER 0837201

AGENCY CASE NUMBER 080140728

OFFENSE AGENCY TX2201200 - FORT WORTH PD

OFFENSE AGG ASSLT W/DEADLY WEAPON

CITATION PC 22.02(a)(2)

OFFENSE DESC AGG ASSLT W/DEADLY WEAPON

LEVEL & DEGREE FELONY - 2ND DEGREE

DISPOSITION HELD

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch

Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN

NTN: 1D0HXLZ

III Response Data (cont.)

DISPOSITION DATE 12-03-2008
 REFERRED TX2201200 - FORT WORTH PD

NO PROSECUTION DATA AVAILABLE

NO COURT DATA AVAILABLE

NO CUSTODY DATA AVAILABLE
 UNAUTHORIZED USE OR DISCLOSURE OF THE INFORMATION CONTAINED IN THIS RECORD
 MAY RESULT IN SEVERE CRIMINAL PENALTIES
 SEE TEXAS GOVERNMENT CODE SECTION 411.085

END OF RECORD

CRIME RECORDS SERVICE DPS AUSTIN TX 05/18/2009

ML0105182009

WVNICS000

CR.AZIII0000

12:51 05/18/2009 76700

12:51 05/18/2009 83801 WVNICS000

TXT

HDR/2L011D0HXLZ03330000

ATN/1D0HXLZ 18MAY2009 1549.FBI/461821LB8.PUR/F

THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-SID/AZ14072128

DATE 05-18-2009 ARIZONA DEPARTMENT OF PUBLIC SAFETY PAGE 0001

TIME 12.51 AUTOMATED CRIMINAL HISTORY

SID/ AZ14072128

NAM/ KINNUNEN, KEITH THOMAS FBI/ 461821LB8 SOC/ 501-08-3213

SEX/ M RAC/ W DOB/ 11-26-1976 POB/ AZ III STA/ M DATE/ 10-11-1999

HGT/ 510 WGT/ 160 EYE/ BLU HAI/ RED SKN/ PURGE ON/ 11-26-2075

HFP/ - - - - FPC/ - - - DATE ENTERED/ 06-26-1999

- - - - - DATE UPDATED/ 12-03-2008

SMT/ TAT CHEST TAT ABDOM

RAP/ NO FELONY CONVICTIONS.

ADDITIONAL IDENTIFIERS

SOC/ 601-08-3213

***** CONTINUED ON NEXT PAGE ***** ML0105182009

WVNICS000

CR.AZIII0000

12:51 05/18/2009 76701

12:51 05/18/2009 83802 WVNICS000

TXT

HDR/2L011D0HXLZ03330000

ATN/1D0HXLZ 18MAY2009 1549.FBI/461821LB8.PUR/F

THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-SID/AZ14072128

DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES
Denial Enforcement and NICS Intelligence Branch
Phone: (304) 616-4200 Fax: (866) 561-2048

Print Date: December 29, 2019



ATF NICS Referral Application Field Office Referral Report

Warning: This referral should NOT be sent to the field.

Buyer Name: KEITH THOMAS KINNUNEN**NTN:** 1D0HXLZ

III Response Data (cont.)	
----------------------------------	--

DATE 05-18-2009 ARIZONA DEPARTMENT OF PUBLIC SAFETY PAGE 0002

TIME 12.51 AUTOMATED CRIMINAL HISTORY

SID/ AZ14072128

**

ARREST/ 06-18-1999 AGENCY/ AZ0100700 ORO VALLEY PD
 NAME/ KINNUNEN, KEITH THOMAS CASE/ OROV99060570 PCN/ 5001000080
 001 5202 CARRYING CONCEALED WEAPON MIS
 DISPO/ 07-21-1999 AGENCY/ AZ010041J ORO VALLEY CITY MAGIS CRT
 5202 CARRYING CONCEALED WEAPON MIS
 DISPO/ COURT DISMISSAL CASE/ CR99281

**

ARREST/ 07-03-2004 AGENCY/ AZ0100300 TUCSON PD
 NAME/ KINNUNEN, KEITH THOMAS CASE/ TUS0407020942 PCN/ 2703192804
 001 13-1201 ENDANGERMENT FEL
 OFFENSE DATE/ 07-02-2004

***** CONTINUED ON NEXT PAGE *****ML0105182009

WVNICS000

CR.AZIII0000

12:51 05/18/2009 76704

12:51 05/18/2009 83803 WVNICS000

TXT

HDR/2L011D0HXLZ03330000

ATN/1D0HXLZ 18MAY2009 1549.FBI/461821LB8.PUR/F

THIS RECORD IS BASED ONLY ON THE SID NUMBER IN YOUR REQUEST-SID/AZ14072128

DATE 05-18-2009 ARIZONA DEPARTMENT OF PUBLIC SAFETY PAGE 0003

TIME 12.51 AUTOMATED CRIMINAL HISTORY

SID/ AZ14072128

DISPO/ 07-12-2004 AGENCY/ AZ010013A PIMA CO ATTY,TUCSON

13-1201 ENDANGERMENT FEL

DISPO/ NO COMPLAINT FILED CASE/ CASE # UNK

002 13-1201 ENDANGERMENT FEL

OFFENSE DATE/ 07-02-2004

DISPO/ 07-12-2004 AGENCY/ AZ010013A PIMA CO ATTY,TUCSON

13-1201 ENDANGERMENT FEL

DISPO/ NO COMPLAINT FILED CASE/ CASE # UNK

DATA TO BE USED ONLY FOR CRIMINAL JUSTICE OR OTHER LAWFUL PURPOSES.

END OF RECORD

Denied
Firearms Transaction Record Part I -
Over-the-Counter

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited under law from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. §§ 921 *et. seq.*, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Transferor's Transaction
Serial Number (*If any*)

9589

Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on this form. "PLEASE PRINT."

Section A - Must Be Completed Personally By Transferee (Buyer)

1. Transferee's Full Name

Last Name

KINNUNEN

First Name

Keith

Middle Name (*If no middle name, state "NMN"*)

Thomas

2. Current Residence Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)

Number and Street Address

[REDACTED]

City

Ft Worth TX

County

Tarrant

State

TX

ZIP Code

76116

3. Place of Birth

U.S. City and State

USA AZ

-OR-

Foreign Country

Jackson

4. Height

Feet 5
In. 9 1/2

5. Weight (Lbs.)

160

6. Gender

Male Female

7. Birth Date

Month Day Year

8. Social Security Number (*Optional, but will help prevent misidentification*)

[REDACTED]

9. Unique Personal Identification Number (UPI) if applicable (*See Instructions for Question 9.*)10. Race (Ethnicity) (*Check one or more boxes. See Instructions for Question 10.*) American Indian or Alaska Native Black or African American Native Hawaiian or Other Pacific Islander Hispanic or Latino Asian White11. Answer questions 11.a. (*see exceptions*) through 11.l. and 12. (*if applicable*) by checking or marking "yes" or "no" in the boxes to the right of the questions.

a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual buyer, the dealer cannot transfer the firearm(s) to you. (See Instructions for Question 11.a.) Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
b. Are you under indictment or information in any court for a felony , or any other crime, for which the judge could imprison you for more than one year? (<i>See Instructions for Question 11.b.</i>)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
c. Have you ever been convicted in any court of a felony , or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (<i>See Instructions for Question 11.c.</i>)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
d. Are you a fugitive from justice?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
f. Have you ever been adjudicated mentally defective (<i>which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs</i>) OR have you ever been committed to a mental institution? (<i>See Instructions for Question 11.f.</i>)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
g. Have you been discharged from the Armed Forces under dishonorable conditions?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (<i>See Instructions for Question 11.h.</i>)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (<i>See Instructions for Question 11.i.</i>)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
j. Have you ever renounced your United States citizenship?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
k. Are you an alien illegally in the United States?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
l. Are you a nonimmigrant alien? (<i>See Instructions for Question 11.l.</i>) If you answered "no" to this question, do NOT respond to question 12 and proceed to question 13.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
12. If you are a nonimmigrant alien, do you fall within any of the exceptions set forth in the instructions? (If "yes," the licensee must complete question 20d.) (<i>See Instructions for Question 12.</i>) If question 11.l. is answered with a "no" response, then do NOT respond to question 12 and proceed to question 13.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
13. What is your State of residence (<i>if any</i>)? (<i>See Instructions for Question 13.</i>)	14. What is your country of citizenship? (<i>List/check more than one, if applicable. If you are a citizen of the United States, proceed to question 16.</i>)
	<input checked="" type="checkbox"/> United States of America
	<input type="checkbox"/> Other (Specify) [REDACTED]
TEXAS	15. If you are not a citizen of the United States, what is your U.S.-issued alien number or admission number?

Note: Previous Editions Are Obsolete

Page 1 of 6

Transferee (Buyer) Continue to Next Page

STAPLE IF PAGES BECOME SEPARATED

ATF Form 4473 (5300.9) Part I
Revised August 2008

USA00023974

I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.l. is prohibited from purchasing or receiving a firearm, unless the person also answers "yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of law (*See Instructions for Question 16*).

Section C - Must Be Completed Personally By Transferee (Buyer)

If the transfer of the firearm(s) takes place on a different day from the date that the transferee (*buyer*) signed Section A, the transferee must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 24 and 25.)

I certify that my answers to the questions in Section A of this form are still true, correct and complete.

24. Transferee's/Buyer's Signature

25. Recertification Date

Section D - Must Be Completed By Transferor (Seller)

26. Manufacturer and/or importer (<i>If the manufacturer and importer are different, the FFL should include both.</i>)	27. Model	28. Serial Number	29. Type(<i>pistol, revolver, rifle, shotgun, receiver, frame, etc.</i>) (<i>See instructions for question 29</i>)	30. Caliber or Gauge
Remington	P70	AB749891M	Shotgun	12

30a. Total Number of Firearms (*Please handwrite by printing e.g., one, two, three, etc. Do not use numerals.*)*One*

30b. Is any part of this transaction a

Pawn Redemption?

 Yes No30c. For Use by FFL (*See Instructions for Question 30c.*)

Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days

31. Trade/corporate name and address of transferor (<i>seller</i>) (<i>Hand stamp may be used.</i>) WINCHESTER GALLERY, INC. 6054 E. LANCASTER AVE. FT WORTH, TEXAS 76112	32. Federal Firearms License Number (<i>Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.</i>) (<i>Hand stamp may be used.</i>) FFL LIC. #5-75-439-01-9F-41424 PH. (817) 496-9391 FAX (817) 496-3133
---	---

The Person Transferring The Firearm(s) Must Complete Questions 33-36. www.dfta.fbi.gov/4473.htm
 The Person Who Completed Section B Must Complete Questions 33-35.

I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer *if the transfer does not occur on the day Section A was completed*); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

33. Transferor's/Seller's Name (<i>Please print</i>) <i>DAVID Capp</i>	34. Transferor's/Seller's Signature <i>David Capp</i>	35. Transferor's/Seller's Title <i>SALES</i>	36. Date Transferred
---	--	---	----------------------

NOTICES, INSTRUCTIONS AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. § 923 may determine if he or she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the buyer of certain restrictions on the receipt and possession of firearms. This form should only be used for sales or transfers where the seller is licensed under 18 U.S.C. § 923. The seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the seller must be familiar with the provisions of 18 U.S.C. §§ 921-931 and the regulations in 27 CFR Part 478. In determining the lawfulness of the sale or delivery of a long gun (*rifle or shotgun*) to a resident of another State, the seller is presumed to know the applicable State laws and published ordinances in both the seller's State and the buyer's State.

After the seller has completed the firearms transaction, he or she must make the completed, original ATF Form 4473 (*which includes the Notices, General Instructions, and Definitions*), and any supporting documents, part of his or her permanent records. Such Forms 4473 must be retained for at least 20 years. Filing may be chronological (*by date*), alphabetical (*by name*), or numerical (*by transaction serial number*), as long as all of the seller's completed Forms 4473 are filed in the same manner. FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not complete after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his or her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (*by name*) or chronological (*by date of transferee's certification*) order.

If you or the buyer discover that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and you or the

Page 3 of 6

buyer wish to make a record of your discovery, then photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. You only should make changes to Sections B and D. The buyer should only make changes to Sections A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of your permanent records.

Over-the-Counter Transaction: The sale or other disposition of a firearm by a seller to a buyer, at the seller's licensed premises. This includes the sale or other disposition of a rifle or shotgun to a nonresident buyer on such premises.

State Laws and Published Ordinances: The publication (ATF P 5300.5) of State firearms laws and local ordinances ATF distributes to licensees.

Exportation of Firearms: The State or Commerce Departments may require you to obtain a license prior to export.

Section A

Question 1. Transferee's Full Name: The buyer must personally complete Section A of this form and certify (*sign*) that the answers are true, correct, and complete. However, if the buyer is unable to read and/or write, the answers (*other than the signature*) may be completed by another person, excluding the seller. Two persons (*other than the seller*) must then sign as witnesses to the buyer's answers and signature.

When the buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the business must complete Section A of the form with his or her personal information, sign Section A, and attach a written statement, executed under penalties of perjury, stating: (A) the firearm is being acquired for the use of and will be the property of that business entity and (B) the name and address of that business entity.